

# FDA-AACR Public Workshop on OPTIMIZING DOSAGES FOR ONCOLOGY DRUG PRODUCTS: QUANTITATIVE APPROACHES TO SELECT DOSAGES FOR CLINICAL TRIALS

February 15, 2024, 8 a.m. – 5 p.m.  
February 16, 2024, 8 a.m. – 1 p.m.  
Grand Hyatt Washington | Washington, D.C.



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**Patricia M. LoRusso, DO, PhD (hc), FAACR**

*Yale Cancer Center*

## OPENING REMARKS

**Marc Theoret, MD**

*U.S. Food and Drug Administration*

## WORKSHOP OVERVIEW

**Stacy S. Shord, PharmD**

*U.S. Food and Drug Administration*

FDA-AACR Public Workshop On

# OPTIMIZING DOSAGES FOR ONCOLOGY DRUG PRODUCTS: QUANTITATIVE APPROACHES TO SELECT DOSAGES FOR CLINICAL TRIALS

February 15 and 16, 2024 | Washington, DC

## Workshop Co-Chairs



**Jiang Liu, PhD**  
FDA



**Pat LoRusso, DO,  
PhD (hc), FAACR**  
Yale Cancer Center



**Stacy Shord, PharmD**  
FDA

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**Patricia M. LoRusso, DO, PhD (hc), FAACR**

*Yale Cancer Center*

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Quantitative Approaches to Select Dosages for Clinical Trials

# Dosage Optimization for Oncology Products and Project Optimus

Stacy S. Shord, PharmD, BCOP, FCCP  
Deputy Division Director  
Division of Cancer Pharmacology II  
Office of Clinical Pharmacology  
OTS/CDER/FDA

February 15, 2024



# Disclaimer

Opinions presented are those of the speaker and should not be construed to represent FDA's views or policies.

# Outline



Project Optimus

Guidances and Tools

Workshop



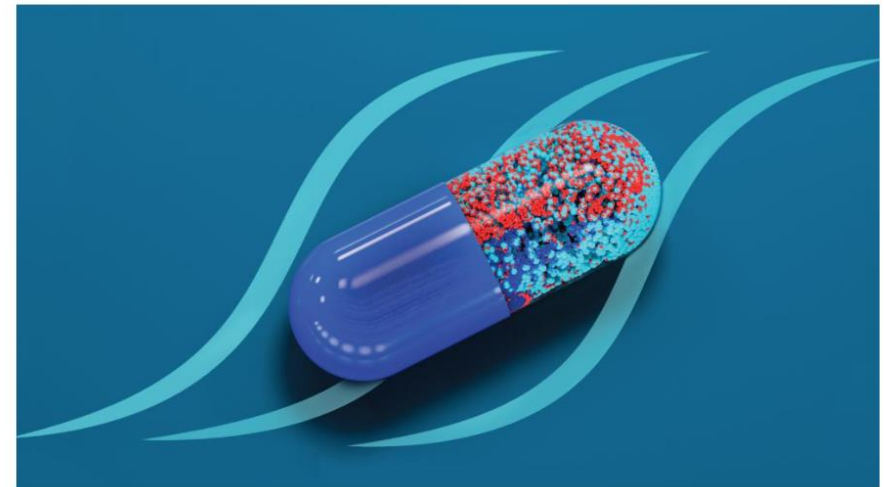
# Project Optimus



**What?** Initiative to reform the dosage optimization and dose selection paradigm in oncology drug development

**Who?** A multidisciplinary team of medical oncologists, clinical pharmacologists, biostatisticians, toxicologists, and other scientists with expertise in key facets of dosage optimization

**More Information:** [Project Optimus](#)



# Multidisciplinary Team



- **OCE:** Rick Pazdur, Marc Theoret
- **Leads:** Atik Rahman, Mirat Shah
- **RPM:** Pam Balcazar
- **Pharmacology/Toxicology:** Haleh Saber, Matthew Thompson
- **Clinical Pharmacology:** Brian Booth, Lanre Okusanya, Stacy Shord
- **Pharmacometrics:** Jiang Liu, Hao Zhu
- **OCP Policy:** Raj Madabushi
- **Clinical:** Brian Heiss, Jennifer Gao, Gwynn Ison, Elizabeth Duke, Shruti Gandhi, Cara Rabik, Pam Seam
- **Safety:** Abhi Nair
- **Biostatistics:** Joyce Cheng, Jonathon Vallejo, Gary Rosner
- **CBER:** Lianne Wu, Xiaofei Wang
- **Analysts:** Alex Akalu, Susan Jenney

# Consequences of Not Optimizing Dosage

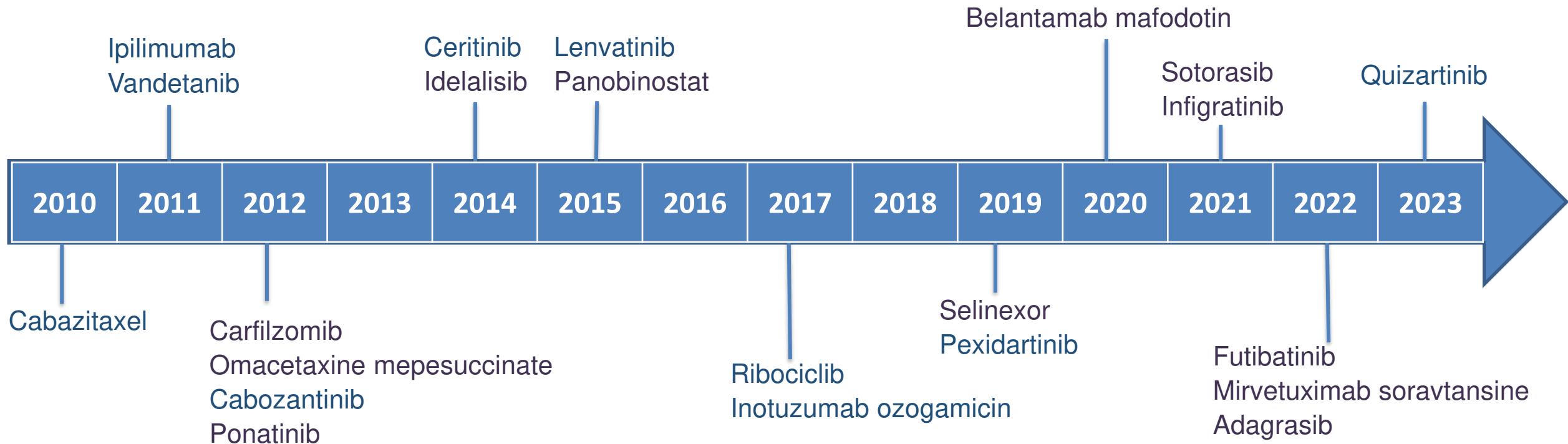


## Before Approval

- Drug is poorly-tolerated at the approved recommended dosage
  - Patients may stop taking a potentially effective drug
  - Patients choose to try a different drug
- Drug does not make it to market or must be withdrawn from the market
- Takes long time to evaluate alternative dosages following approval
  - Patients may not want to participate in trial if commercially available
  - Disease area moves on to other treatments

# Dosage Optimization PMRs

- 24 PMRs issued for 21 new drugs (15% of 138 total approved new drugs)
- Took a median of 6.5 years to fulfill or release



# Project Optimus Supports Evaluating All Data to Inform Dosage Selection for Clinical Trials



- Consider all data: pharmacokinetic (PK), pharmacodynamic (PD), activity/efficacy, safety, and tolerability data at each step
- Evaluate safety information beyond DLTs, e.g., low-grade symptomatic toxicities, dosage modification frequencies, patient-generated data for treatment-related symptoms
- Identify a target dosage range early and then further evaluate several dosages (ideally in a randomized trial)
- Characterize dosage- and exposure-response relationships for efficacy and toxicity

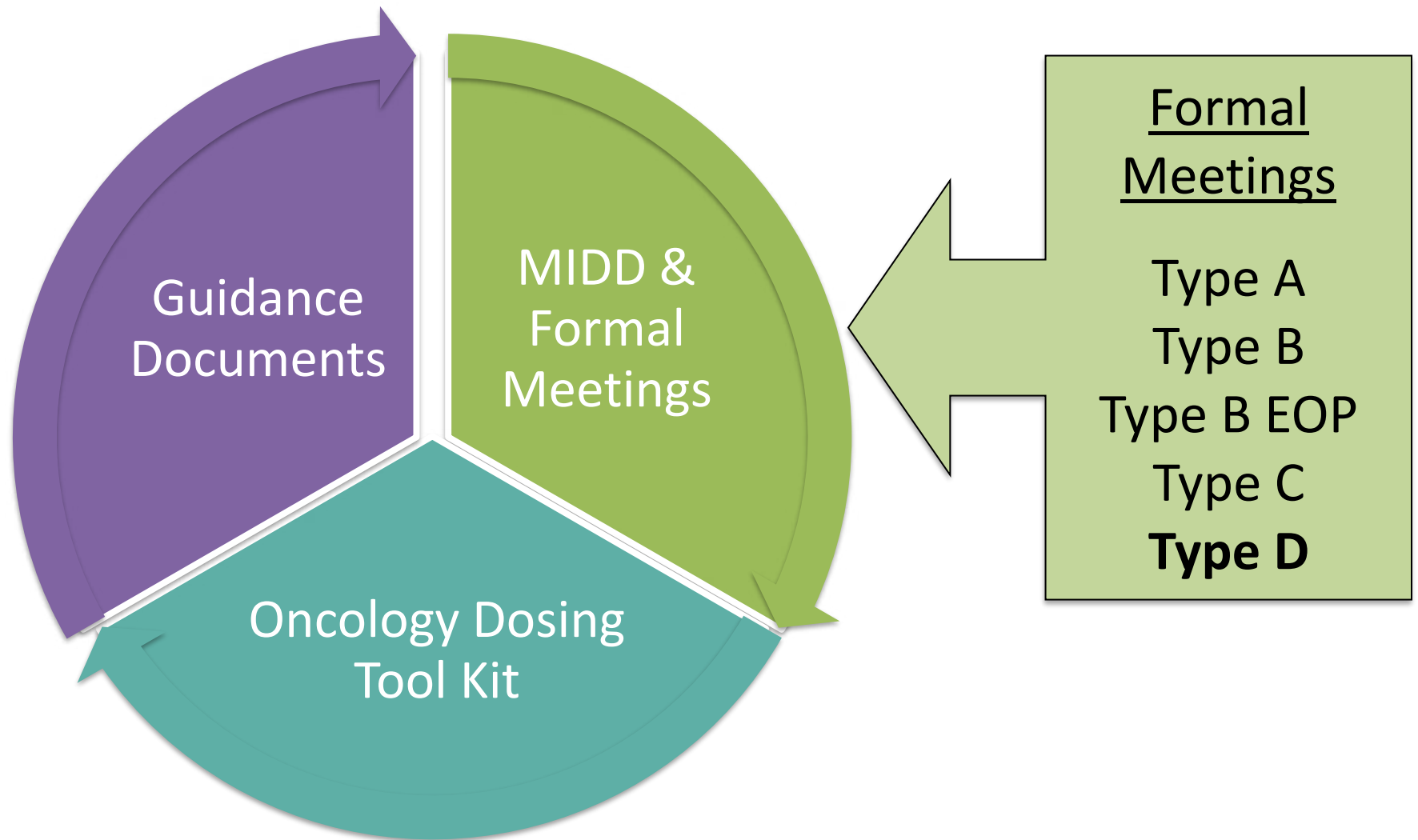


# Focusing on Dosage Before Approval

- Administering ‘optimized’ dosages in registration trial
  - Improves tolerability and adherence
  - Reduces dosage modifications (i.e., discontinuations)
  - Potentially increases likelihood of treatment response
- Earlier understanding of dose- and exposure-response relationships may allow for more rapid development of new therapies, such as
  - combination regimens, new dosing regimens & new formulations
- More efficient to evaluate multiple dosages early in development
- Challenging to conduct dosage optimization trials post-approval

# Guidances and Tools

# Tools Available to Support Dosage Selection and Optimization



# Oncology Dosage Optimization Draft Guidance



## **Optimizing the Dosage of Human Prescription Drugs and Biological Products for the Treatment of Oncologic Diseases**

### **Guidance for Industry**

*DRAFT GUIDANCE*

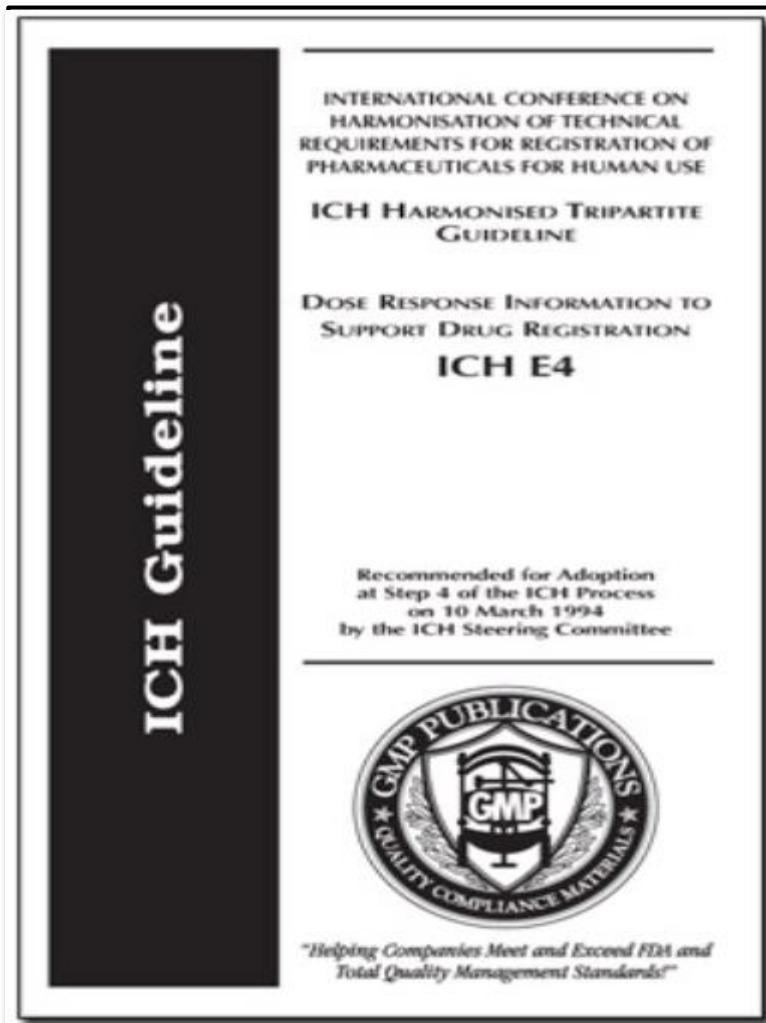
This guidance document is being distributed for comment purposes only.

U.S. Department of Health and Human Services  
Food and Drug Administration  
Oncology Center of Excellence (OCE)  
Center for Drug Evaluation and Research (CDER)  
Center for Biologics Evaluation and Research (CBER)  
January 2023  
Clinical/Medical

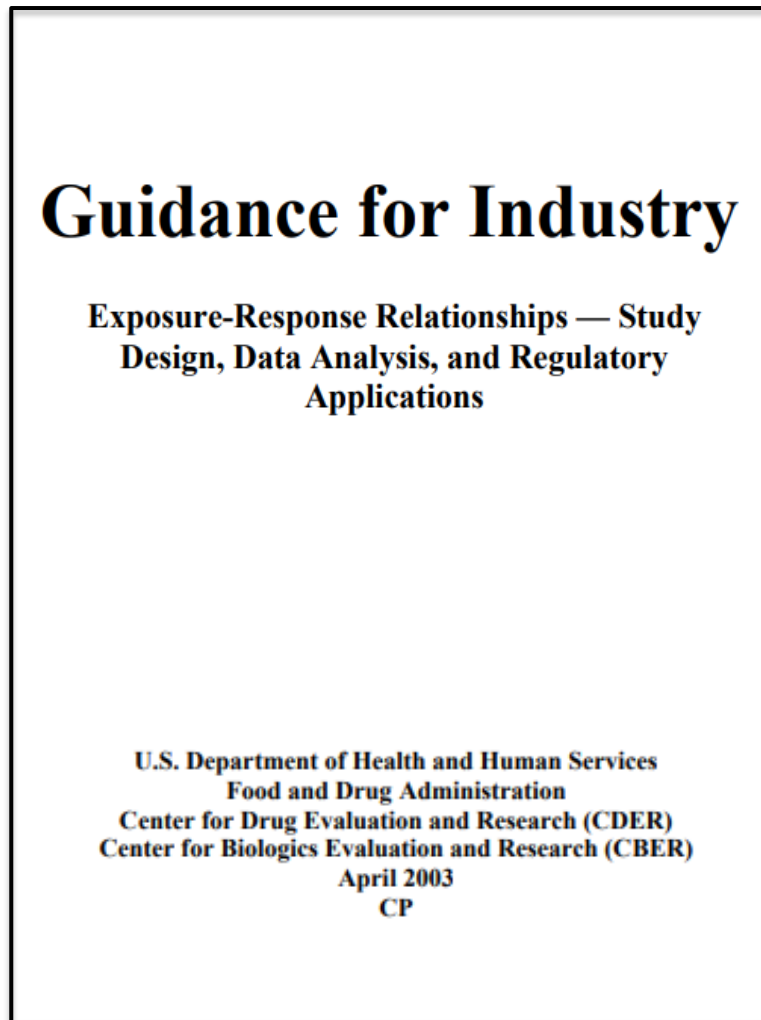
- Dosages must have justification appropriate to development stage
- Evaluate all data to select and support dosages
- Randomized comparisons support identification of optimized dosage(s)
- Safety assessments should include low-grade symptomatic toxicities
- Important for all products, including those with anticipated rapid development timelines

**2023**

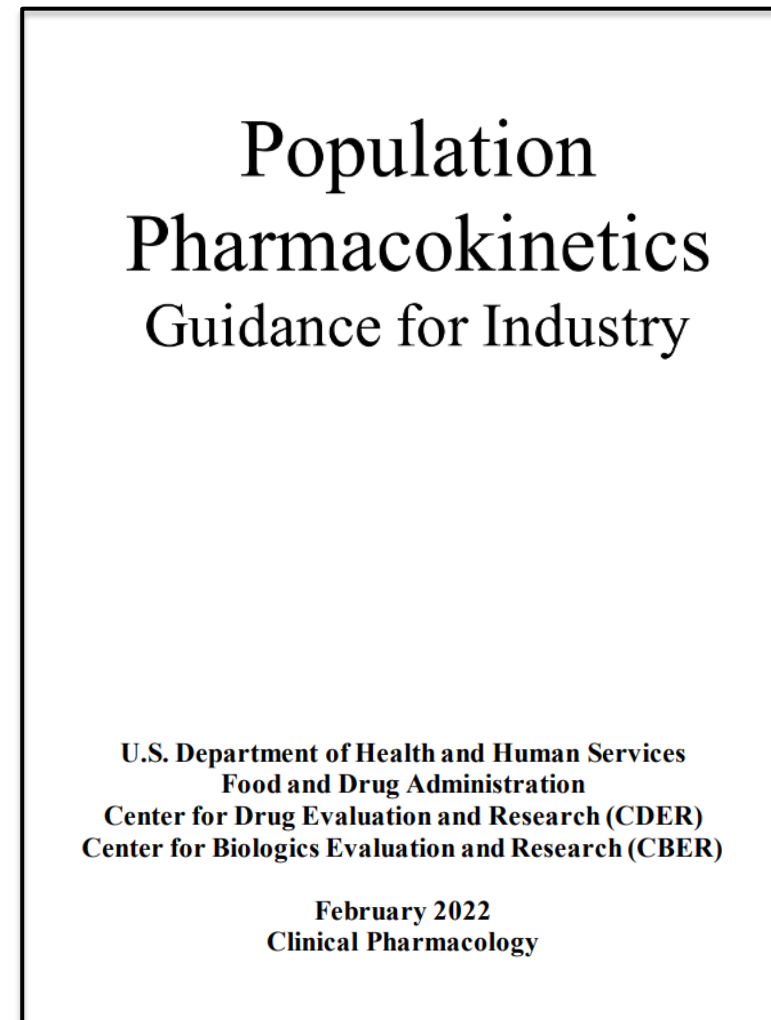
# Guidance Documents



1994



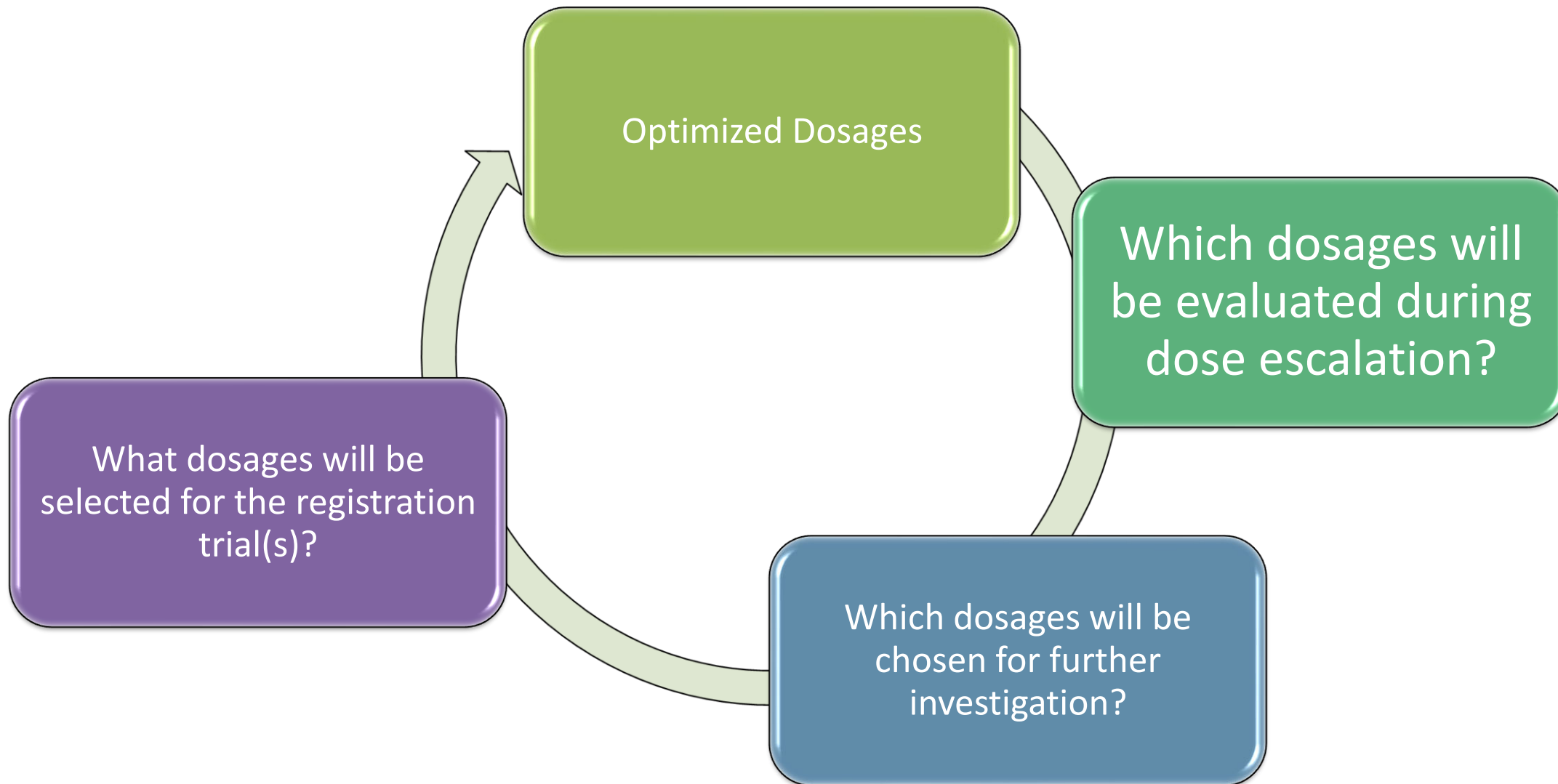
2003



2022



# Oncology Dosing Tool Kit



# Workshop

# Quantitative Approaches to Select Dosages for Clinical Trials



## Selecting Dosages for Dose-Escalation Portion of First-In-Human Trials

- **Selecting Dosages for Dose-Escalation Portion of First-In-Human Trials**
- **Alternative Designs for Dose-Finding Trials: Ending Reliance on Short-Term Safety**

## Selecting Dosages for Additional Exploration Based on Nonclinical and Early Clinical Data

- **Evaluating and Modeling All Early Data to Select Recommended Phase II Dose**
- **Novel Trial Designs to Enhance Dose-Selection Decision Making**

## Selecting Dosages for Registrational Trials

- **Considering the Totality of Efficacy and Safety Data to Aide Registrational Trial Designs**
- **Implementing Seamless and Adaptive Registrational**



# Acknowledgements

Jiang Liu

Atiqur Rahman

Mirat Shah

Marc Theoret

Project Optimus





# SESSION 1: SELECTING DOSAGES FOR DOSE-ESCALATION PORTION OF FIRST-IN-HUMAN TRIALS



Session 1A: Utilizing Nonclinical Data and Modeling to Support Dosage Selection for First in Human Trials

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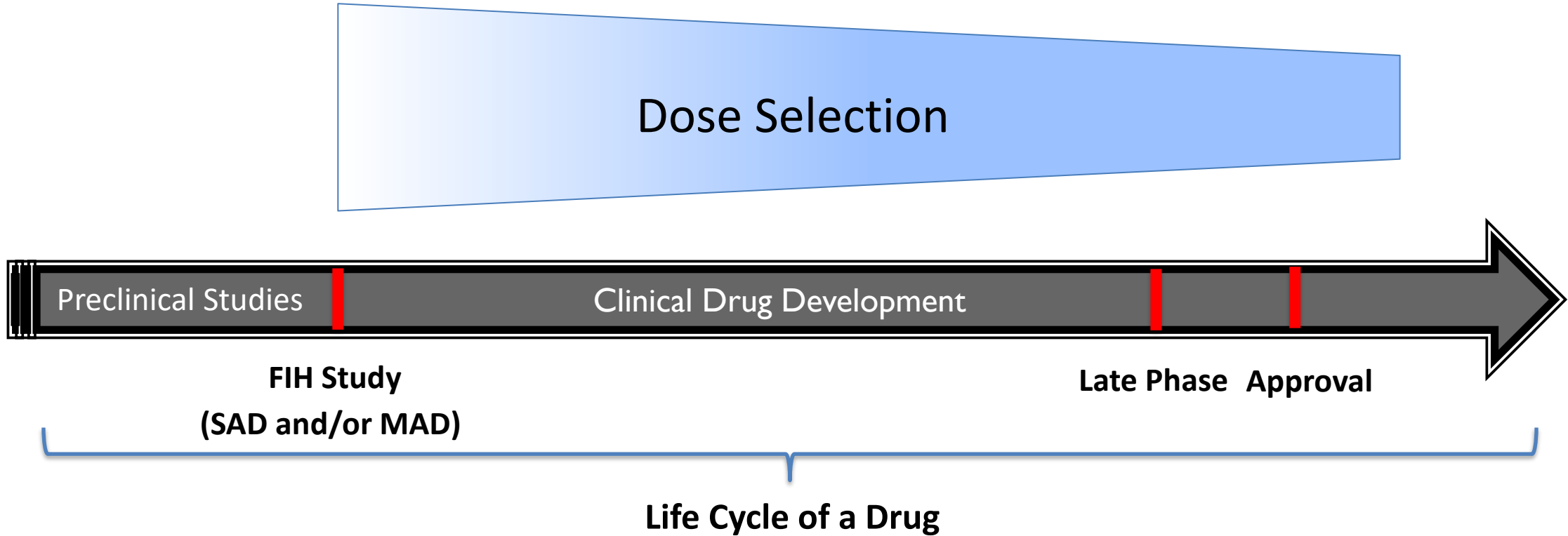
CENTER FOR DRUG EVALUATION & RESEARCH  
OFFICE OF CLINICAL PHARMACOLOGY

# **Dose Selection in Oncology First in Human Trials: Challenges and Opportunities**


Hao Zhu, Ph.D., Mstat  
Division Director  
Division of Pharmacometrics,  
FDA/CDER/OTS/OCP

FDA-AACR Public Workshop  
(February 2024)

# Dose Selection in Clinical Development



# FIH studies

	Non - Oncology	Oncology
Subjects	Healthy volunteers	Cancer Patients
Objectives	Explore dose range based on short-term safety and tolerability findings.	<ul style="list-style-type: none"> <li>• Explore dose range based on short-term safety and tolerability findings.</li> <li>• Identify maximum tolerated dose (MTD)</li> <li>• Proof of concept (POC)</li> <li>• Identify potentially efficacious dose.</li> </ul>
Focus	Safety and tolerability	Safety and tolerability, efficacy-related findings
Dose range	Explore a wide dose range that is reasonably safe and well-tolerated	
Dose titration	Start low & titrate gradually	

# Dose Selection in FIH Oncology Studies

## Expectation on safety

Should not push the dose too high

## Patients



- Limited treatment options
- Unmet medical needs

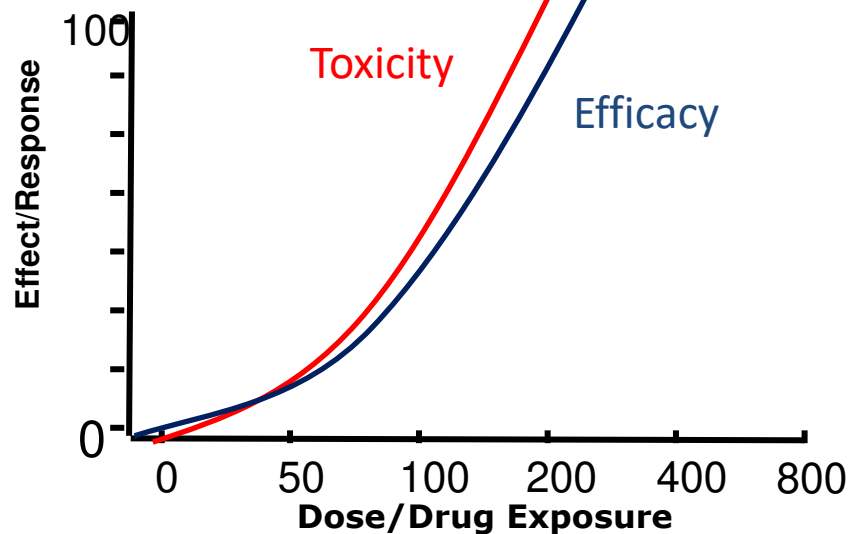
## Expectation on efficacy

Should not start the dose too low

# Oncology Dose Selection in the Past

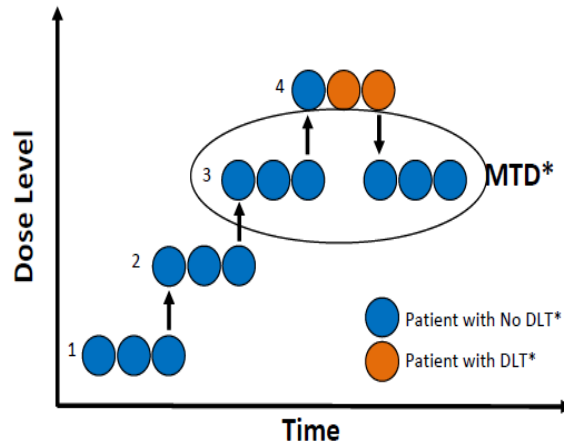


Cytotoxic  
Chemotherapy



FIH Studies

Dose Escalation

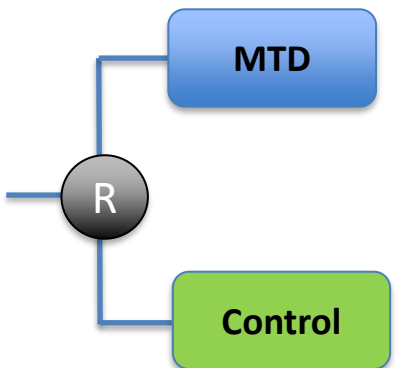


Expansion / Efficacy Trials

Confirmation



Targeted Patients



# Empirical Ways to Select Starting Dose



FIH Trial



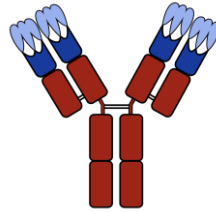
- NOAEL/NOEL \*
- STD10 (rodent)
- HNSTD (non-rodent)

\*: ICH S9 Guidance

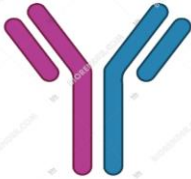
# Novel Modalities in Oncology Development



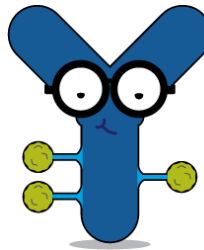
Target  
Therapy



Monoclonal  
Antibodies



Bispecific  
Antibodies

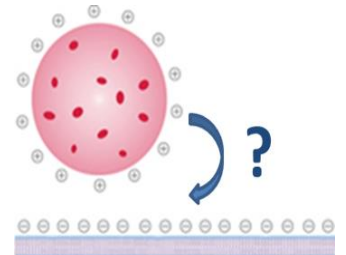


Antibody Drug  
Conjugates



RNA  
Compounds

.....



Novel Delivery  
System



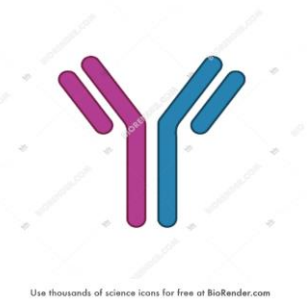
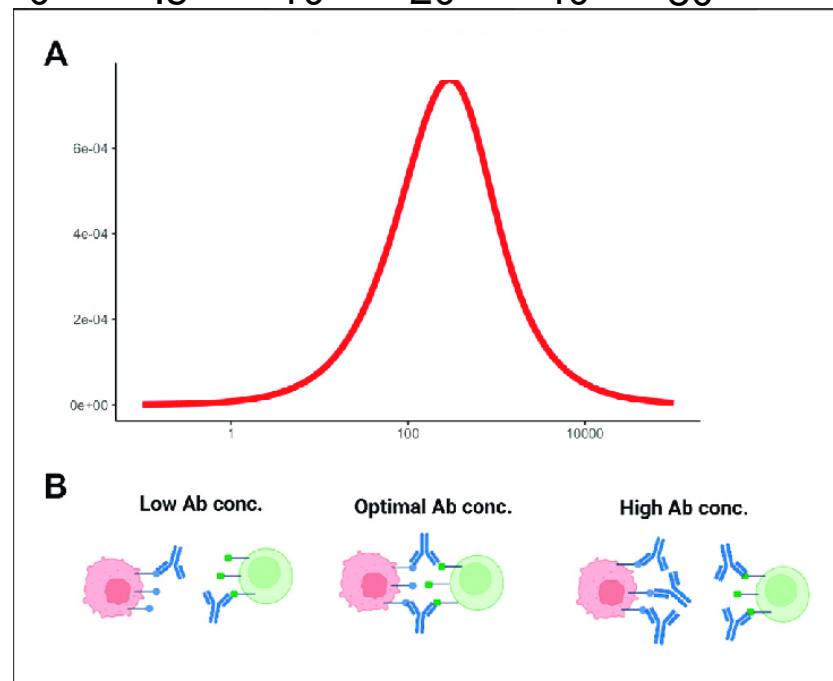
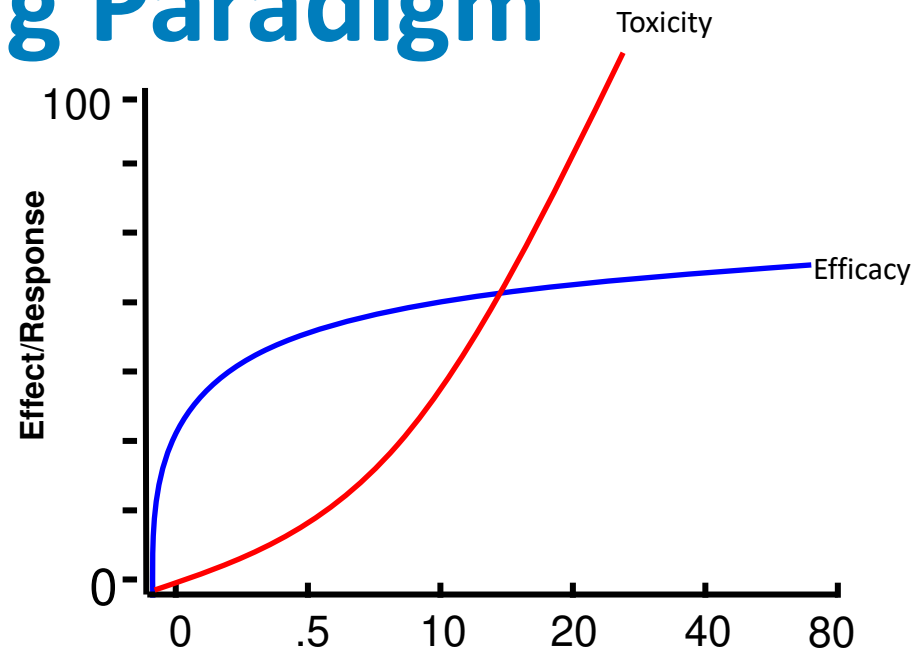
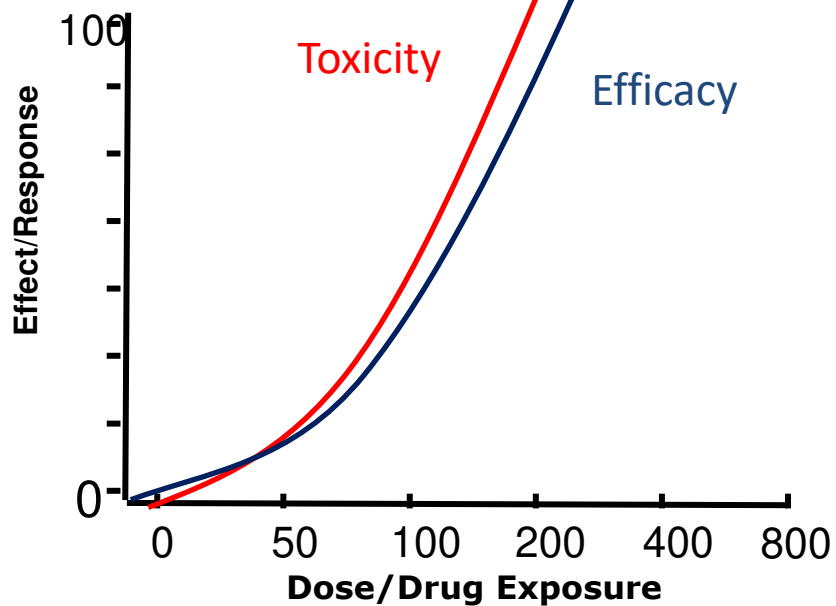
Novel Mechanism of Actions



FIH Dose Selection



# Changing Paradigm



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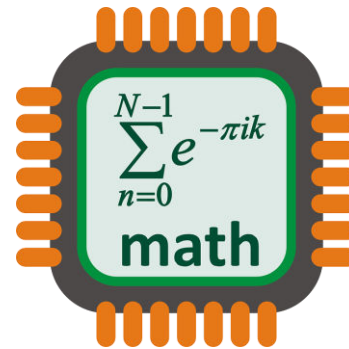
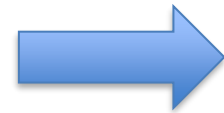
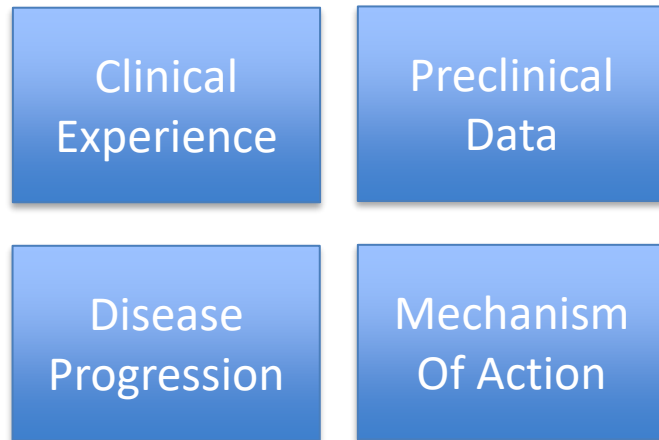
# Model-Based Dose Selection



Patients



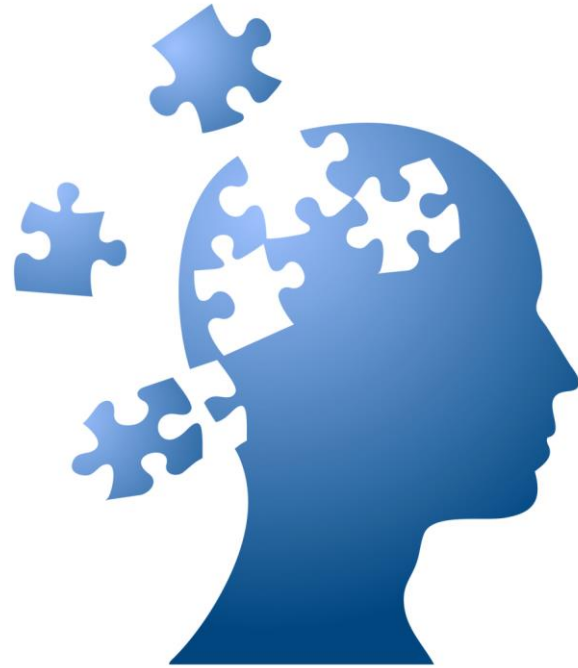
Novel Modalities



FIH Dose

Model as a holistic translational platform

# Good Start is Half the Job Done



# SESSION 1A: UTILIZING NONCLINICAL DATA AND MODELING TO SUPPORT DOSAGE SELECTION FOR FIRST IN HUMAN TRIALS



## MODERATOR

**Hao Zhu, PhD**

*U.S. Food and Drug Administration*

## INTRODUCTORY SPEAKER

**Alex Phipps, PhD**

*AstraZeneca*

## ADDITIONAL PANELISTS

**Matthew Thompson, PhD**

*U.S. Food and Drug Administration*

**Jiang Liu, PhD**

*U.S. Food and Drug Administration*

**Ralph Parchment, PhD**

*NCI Frederick National Laboratory*

**Manish Gupta, PhD**

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# OPTIMIZING DOSAGES FOR ONCOLOGY DRUG PRODUCTS: QUANTITATIVE APPROACHES TO SELECT DOSAGES FOR CLINICAL TRIALS

February 15 and 16, 2024 | Washington, DC

## Applications of model-based approaches to select the starting dosages for first in human trials

**Alex Phipps, PhD**

**on behalf of the AZ Project Optimus Advisory group**

*Alex Phipps, Ph.D.,*

*Clinical Pharmacology and Pharmacometrics - Oncology,*

*AstraZeneca, Cambridge, U.K*

[Alex.phipps@astrazeneca.com](mailto:Alex.phipps@astrazeneca.com)

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# Disclosure Information



Alex Phipps has the following relevant financial relationships to disclose:

Employee and Stockholder of: AstraZeneca

The views expressed in this presentation are my own and not necessarily reflective of AstraZeneca

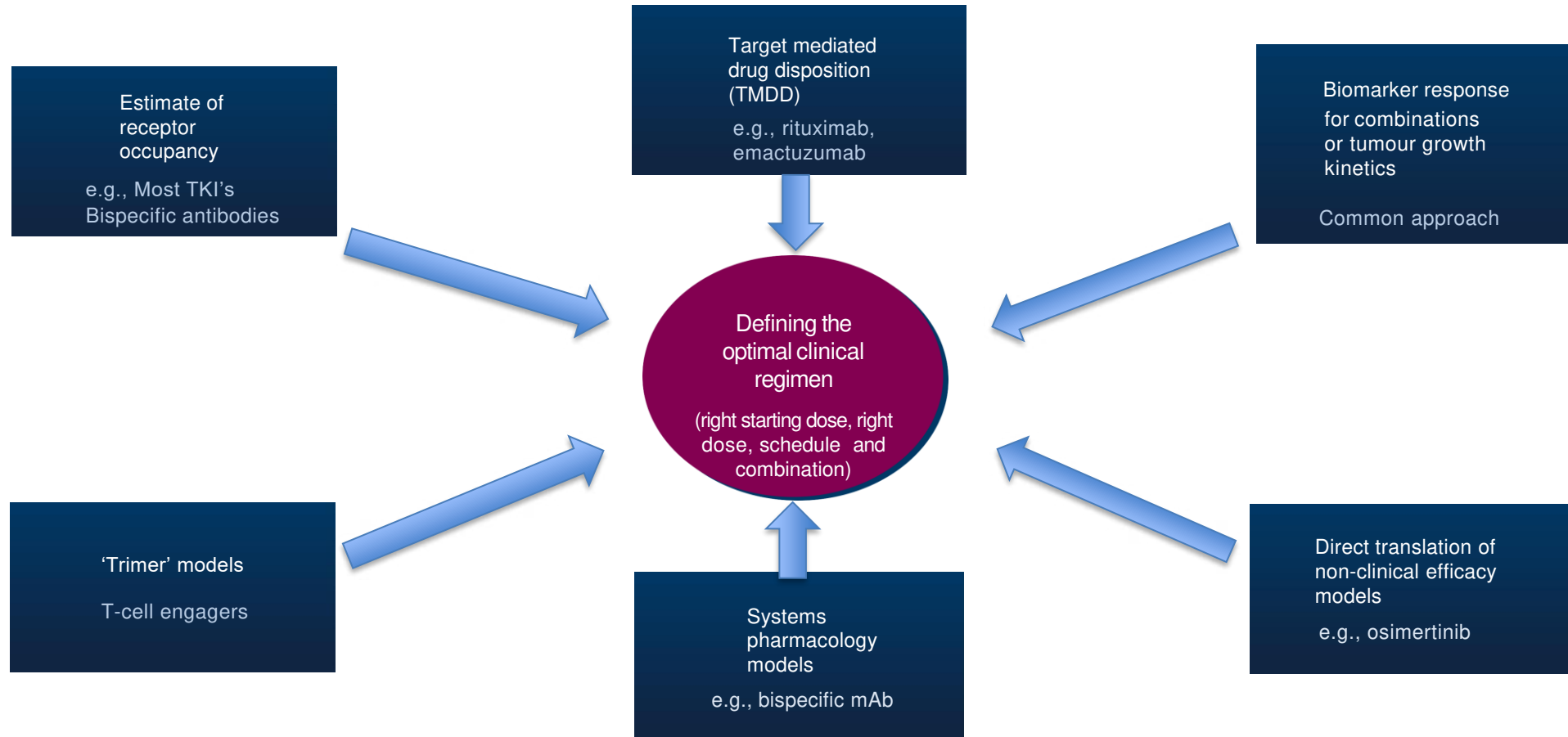
# Focus of the presentation

- Model-based approaches to impact starting doses in the following patient settings
  - Monotherapy
    - Case 1 T-cell engager
    - Case 2 – Small molecule
  - a New Indication
    - Case 3 – Bispecific mAb
  - a New Drug Combination
    - Case 4 – Modelling of advanced cellular in vitro systems

Whilst working with the urgency that patients require



# Model-based approaches to starting dose and dose optimization based on efficacy



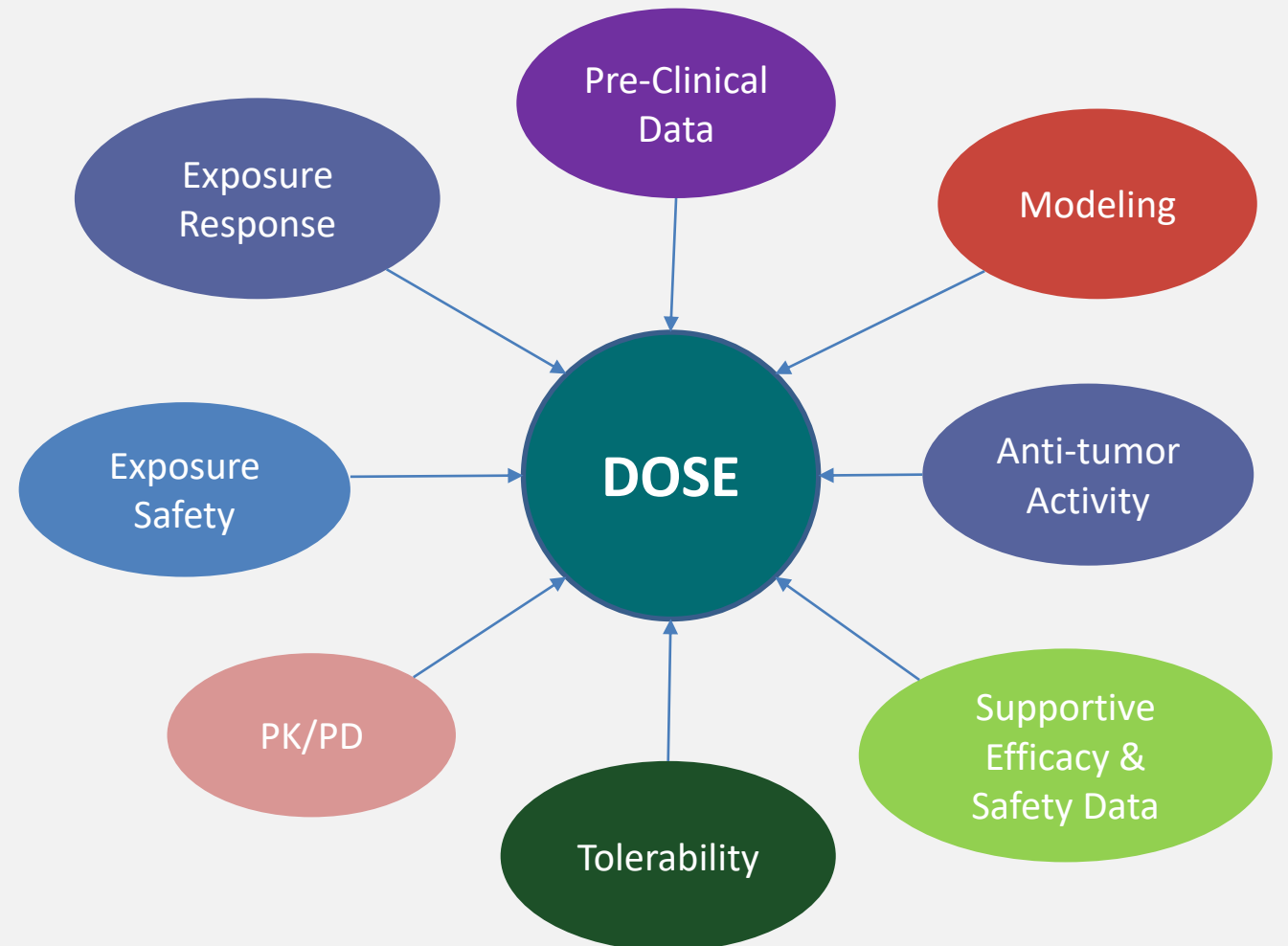
***Always balanced against safety***

# Model-based approaches to dose optimization In monotherapy: Balancing efficacy against safety

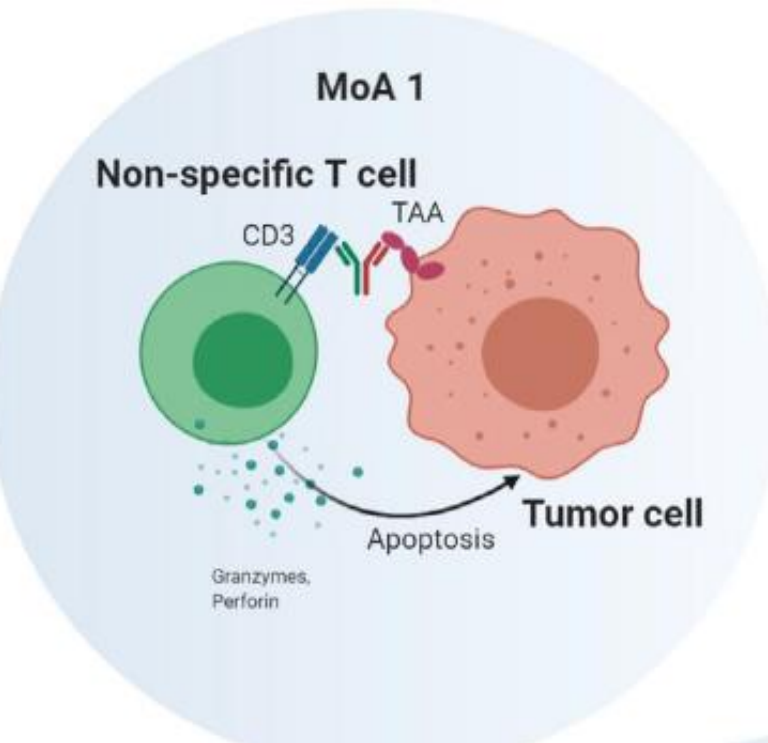
Identify a safe starting dose with some predicted efficacy

Identify an active dose range to explore

Make an informed decision on dose to take forward to a Phase 3 trial

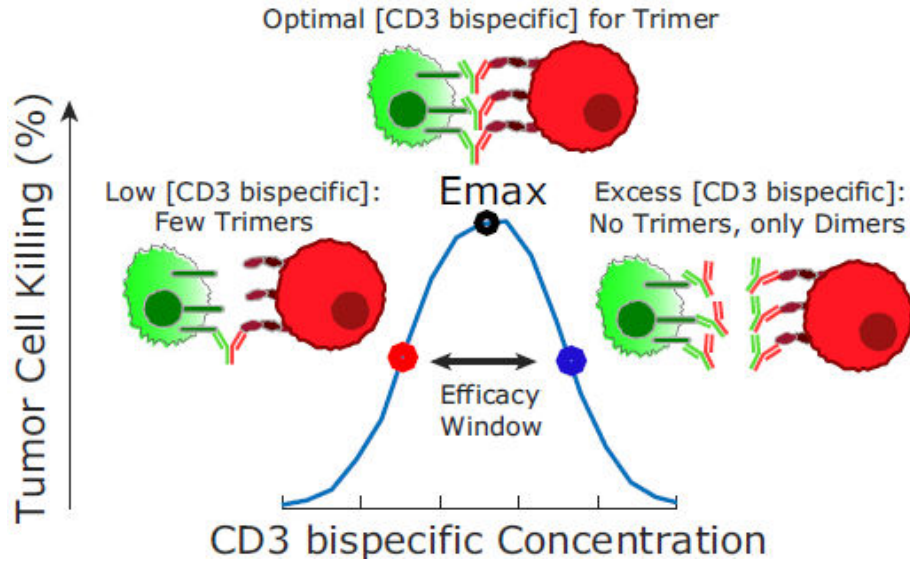


# Case Study 1: Model based approach to optimizing Therapeutic Index – T-cell engagers



- Agonists of the immune system. *Blinatumomab approved in 2014*
- mAb binds T-cells and Tumour cells to form an active 'trimer' mimicking a synapse
- **Challenges to starting dose prediction**
- Nonclinical models can be limited by lack of antigen expression
- *In vitro* cytokine release experiments can overestimate clinical observation – resulting in starting doses up to 500x below activity

# Selecting the starting dose for FIH – T-cell engagers



- Betts et al 2019 devised a QSP model which predicted the concentrations of the active 'trimer'
- This trimer may be more reflective of the 'active' moiety
- The models also show that the trimer may saturate at higher concentrations. This may have implications on how high a dose is needed

MABS  
2023, VOL. 15, NO. 1, 2181016  
<https://doi.org/10.1080/19420862.2023.2181016>

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REVIEW

OPEN ACCESS Check for updates

## Strategies for clinical dose optimization of T cell-engaging therapies in oncology

Kathryn Ball<sup>a</sup>, Simon J Dovedi<sup>b</sup>, Pavan Vajjah<sup>a</sup>, and Alex Phipps<sup>a</sup>

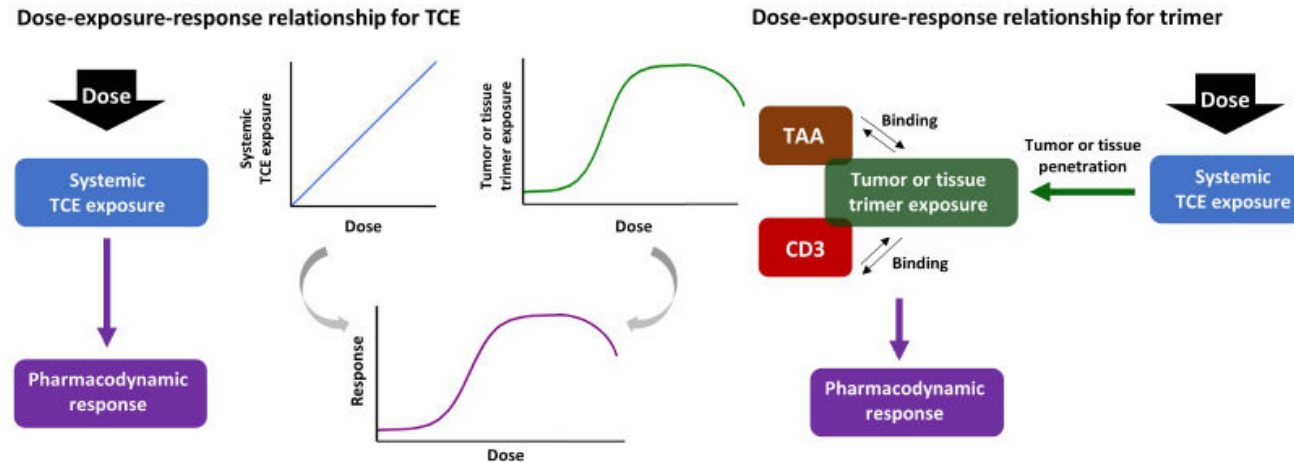
<sup>a</sup>Clinical Pharmacology and Quantitative Pharmacology, Biopharmaceuticals R&D, AstraZeneca, Cambridge, UK; <sup>b</sup>Early Oncology R&D, AstraZeneca, Cambridge, UK



# T-cell engagers – Trimer model-based starting dose approach for solid tumour

1. PK/PD modelling to predict **in vitro** trimer concentration-response relationship for cytotoxicity and cytokine release

2. PBPK and PK/PD modelling to predict trimer concentration **in patients** across a range of potential dose levels



3. Rational choice of appropriate PD biomarkers and upper limit of pharmacological activity level for starting dose selection

# T-cell engagers – Trimer model may enable cycle time reduction

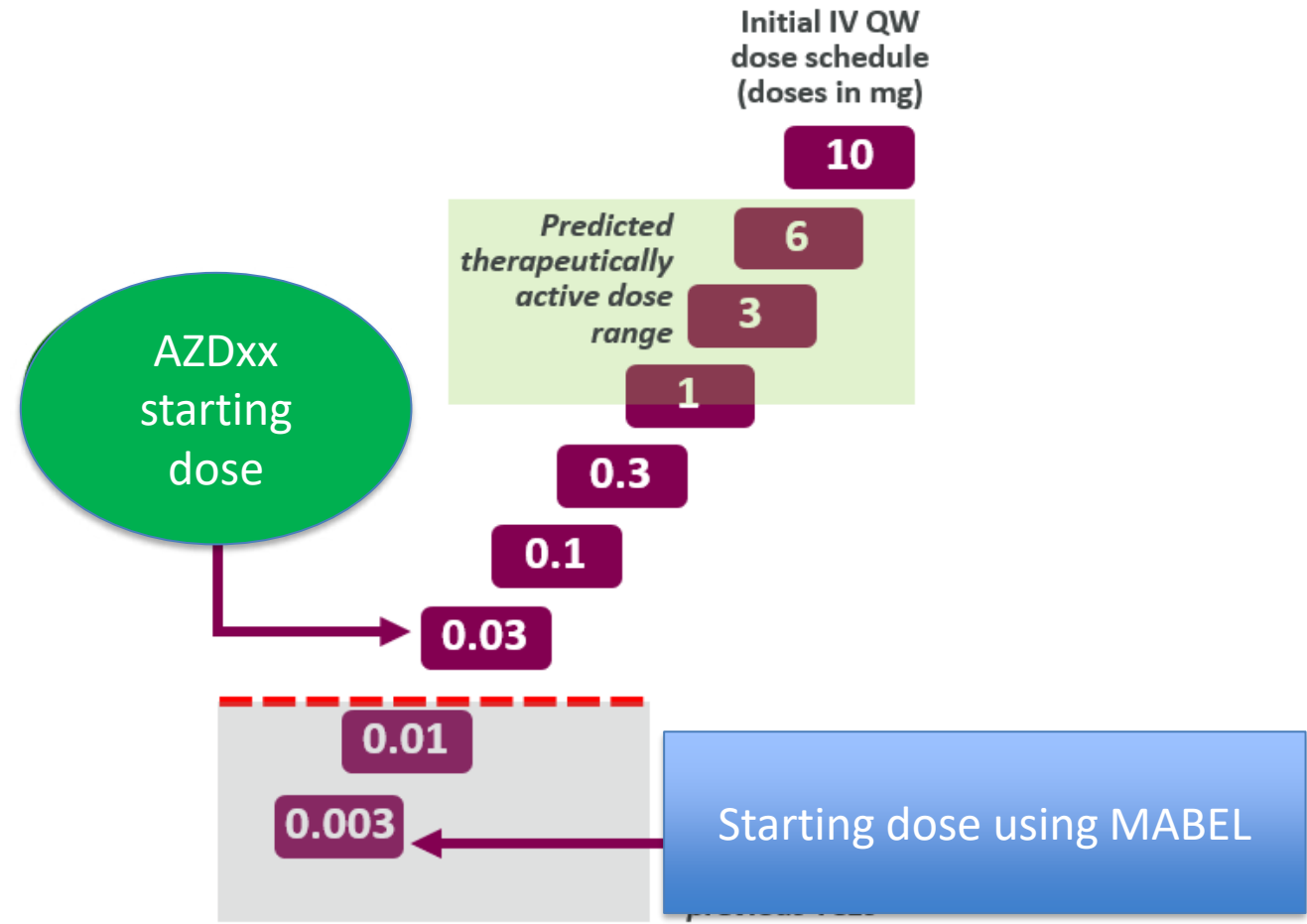
Starting dose was 10x higher using Trimer than MABEL. - Well tolerated clinically

Model informed on single patient cohorts to predicted dose range (0.003 – 0.3 mg/kg)

Reduces number of patients exposed to ineffective doses

Informs on doses for expansion

By incorporating what is known about target expression can inform on doses across indications



# Case Study 2: Using non-clinical and clinical data for starting dose and informing on dose expansion cohorts



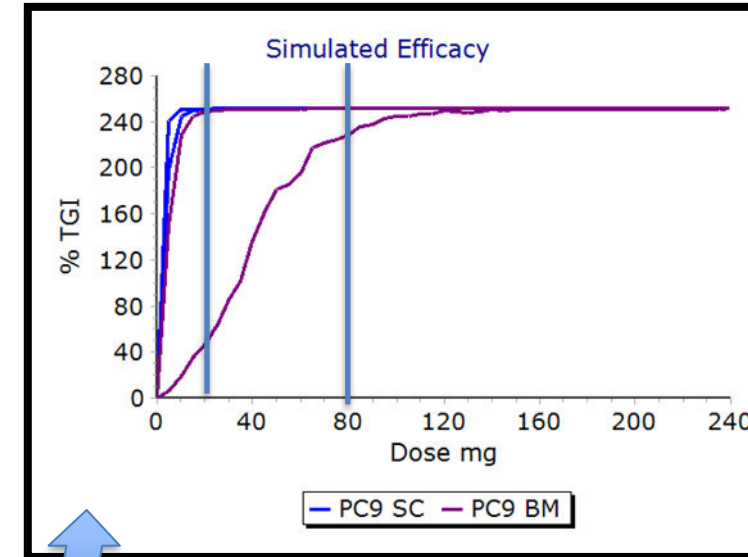
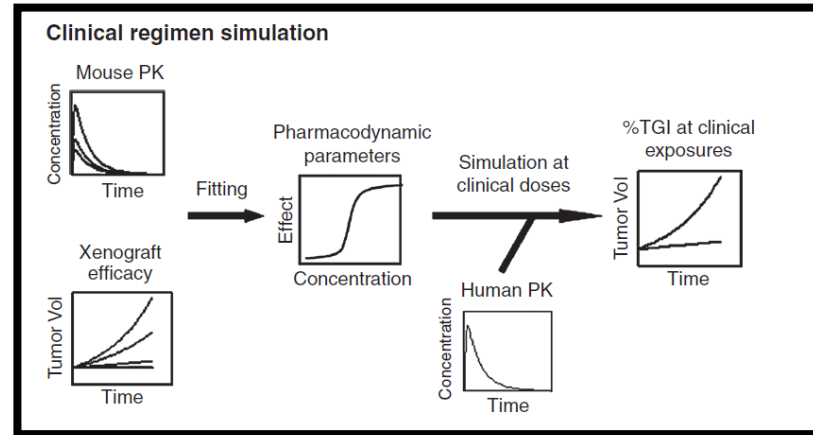
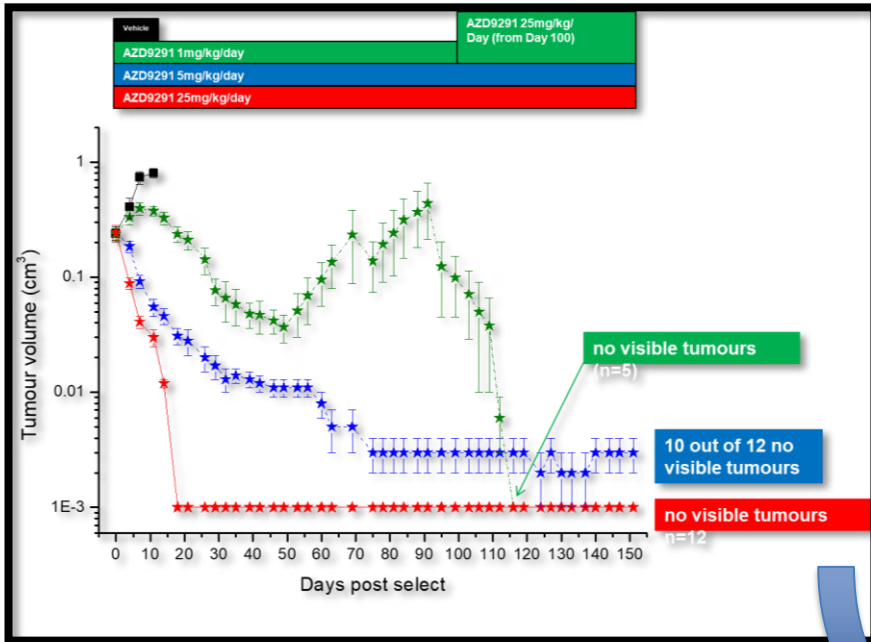
## Osimertinib

A brain penetrant mutant-selective EGFR inhibitor

Approved for the treatment of EGFR-mutant NSCLC harboring sensitizing EGFR mutations, including T790M

*A 'pre-Project Optimus', Optimus like design*

# Translational modeling enabled a starting dose predicted to have efficacy



Preclinical studies shows 5 mg/kg is an efficacious dose in mouse

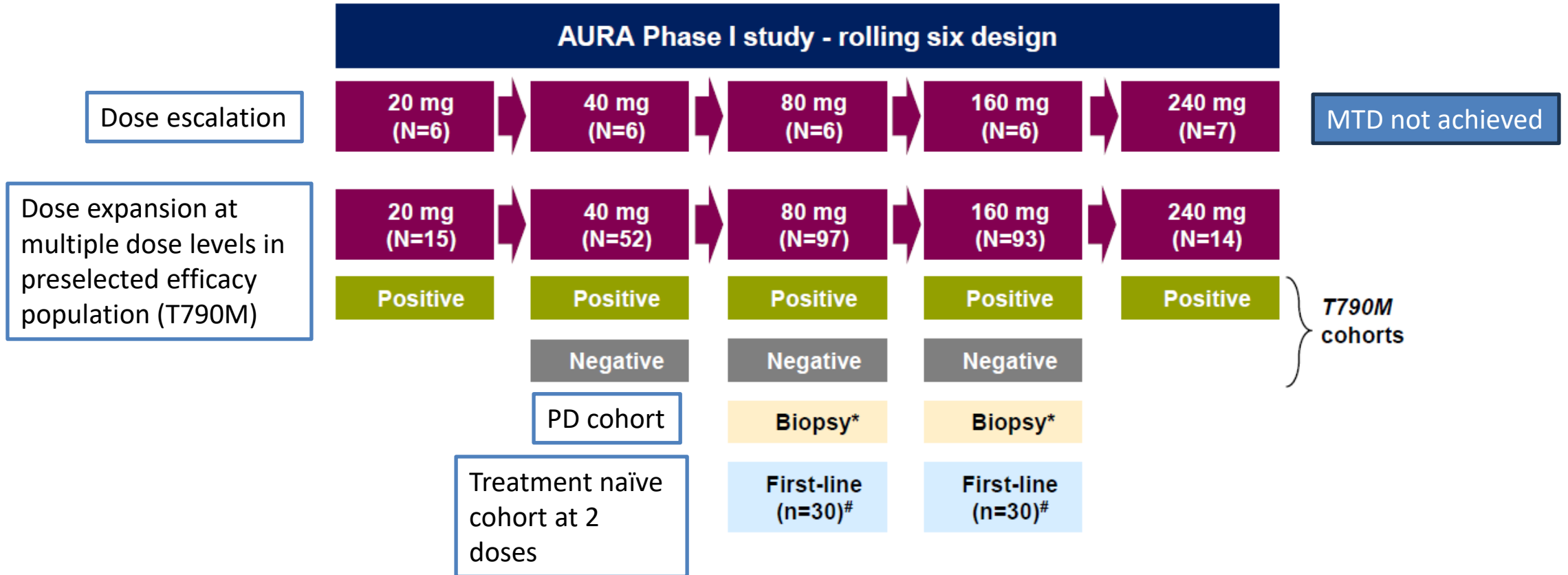
Translational PK-PD modeling to predict human efficacious exposure/dose

Starting dose of 20 mg is predicted to be efficacious; 80 mg for max efficacy.

BM = Brain metastases



# Osimertinib dose selection followed an 'Optimus like' approach



# Considerations for selecting starting dose across new indications

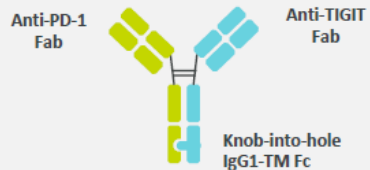
	Considerations for starting dose
Dose	Established or expected in 1 <sup>st</sup> indication
Mechanism of Action	Supporting non-clinical E-R similarity. But be aware of modality specific concerns – <b>e.g.</b> , <ul style="list-style-type: none"> <li>. Bystander effect with ADC may vary</li> <li>. Tumour penetration and blood flow per indication</li> <li>. Receptor Expression (TMDD). Relevance to CAR-T?</li> <li>. Immune cell expression across tumours – also, ‘cold’ and ‘hot’ tumours</li> </ul>
Clinical safety profile	Is the AE profile to date likely to be tolerated in the second?
DDI	Understand differences in con-meds between indications

Models may help with some of these questions

# Case Study 3 - Rilvegostomig – PD1-TIGIT

## Optimizing for two targets

**rilvegostomig**  
(PD1/TIGIT)

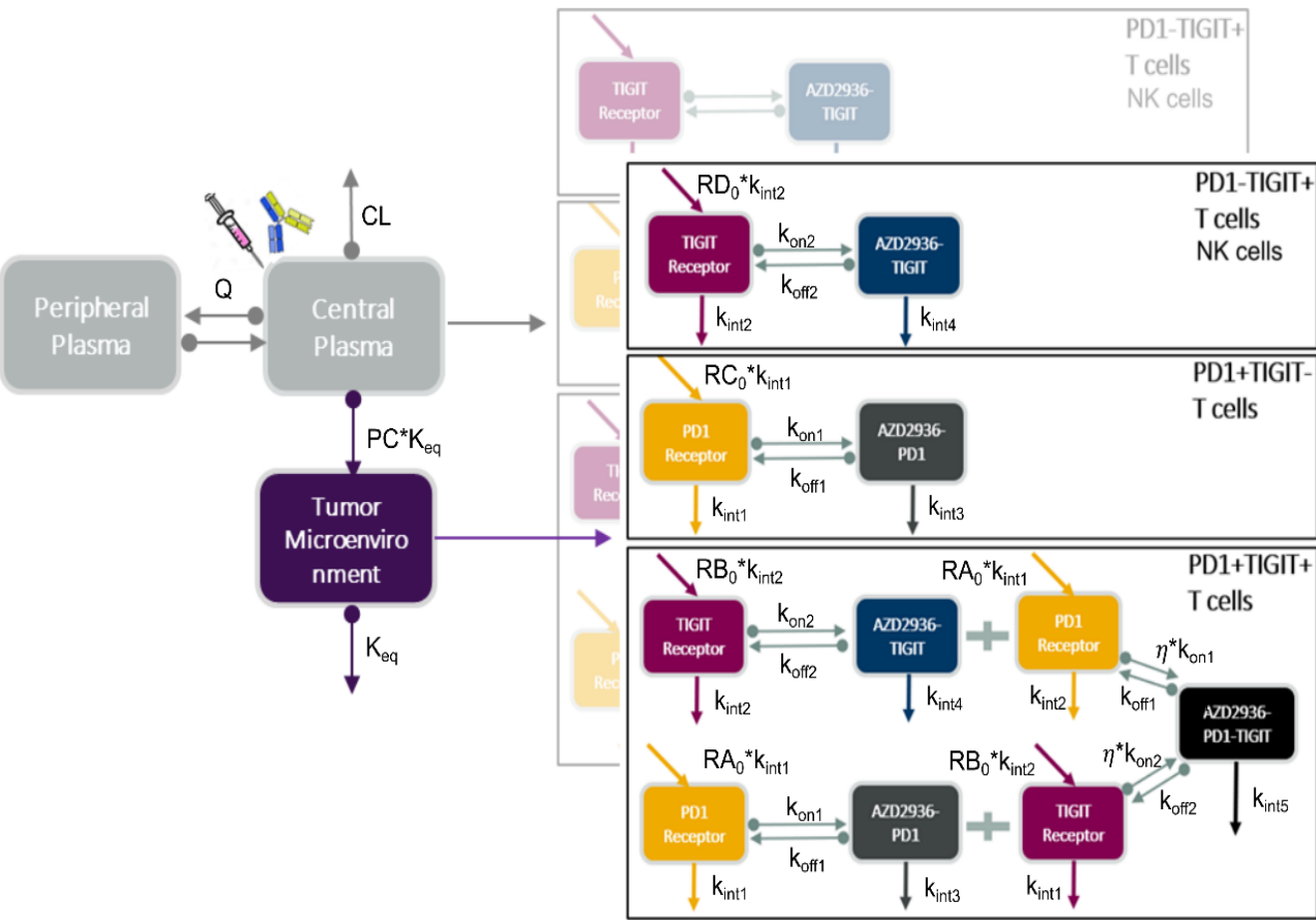


*Designed to maximise effect of PD-1 and TIGIT blockade through cooperative binding*

- Bispecific antibody - binds two checkpoint targets
- PD-1 well established through Keytruda, Opdivo, etc
- Motivation was to build a model that would inform on
  - starting dose and optimal dose range
  - dose across indications and combinations

*The anti-TIGIT component of rilvegostomig is derived from COM902 developed by Compugen Ltd*

# Rilvegostomig – PD1-TIGIT Systems' approach



Two TMDD modules -  
*capture concentration and receptor binding dynamics*

Couples PK and tumour dynamics -  
*to simulate receptor occupancy (RO) across dose levels.*

Compare RO for PD-1 and TIGIT drugs

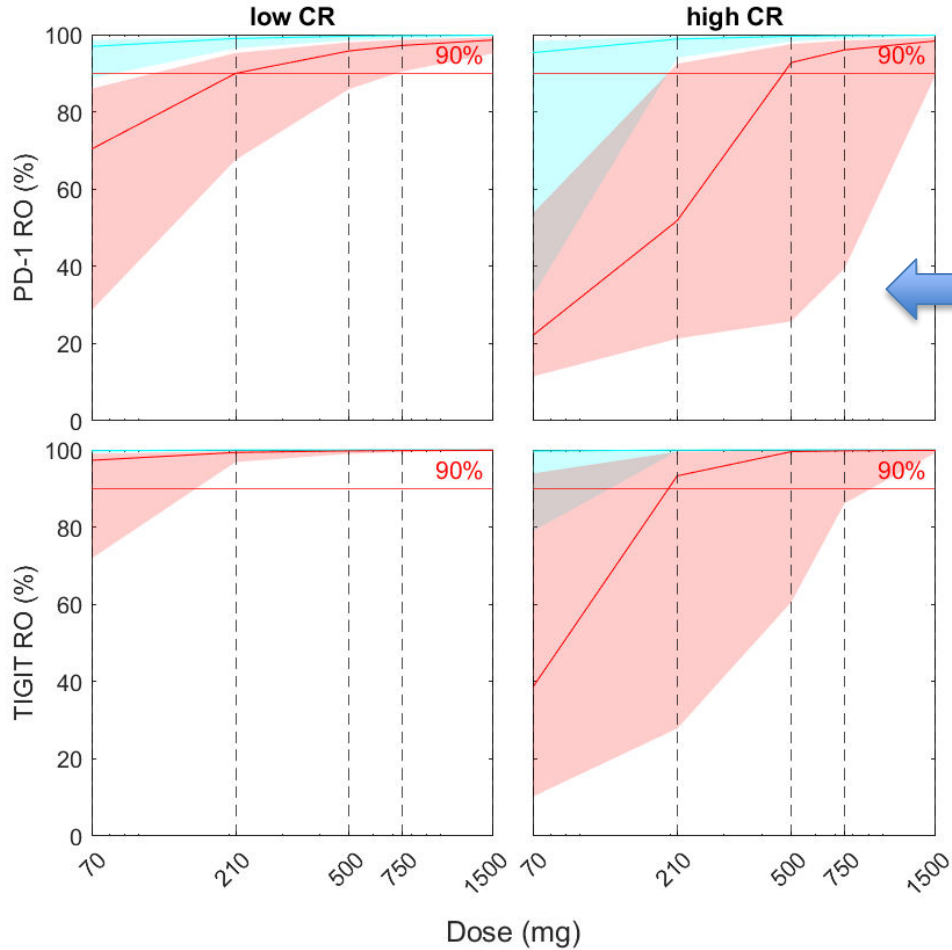
Sensitivity analysis –  
*effect of variable tumour uptake (PC) and concentration of receptor (CR)*

RO = receptor occupancy

# Rilvegostomig – Simulation results

Predicted PD-1 and TIGIT %RO in TME

High PC Low PC

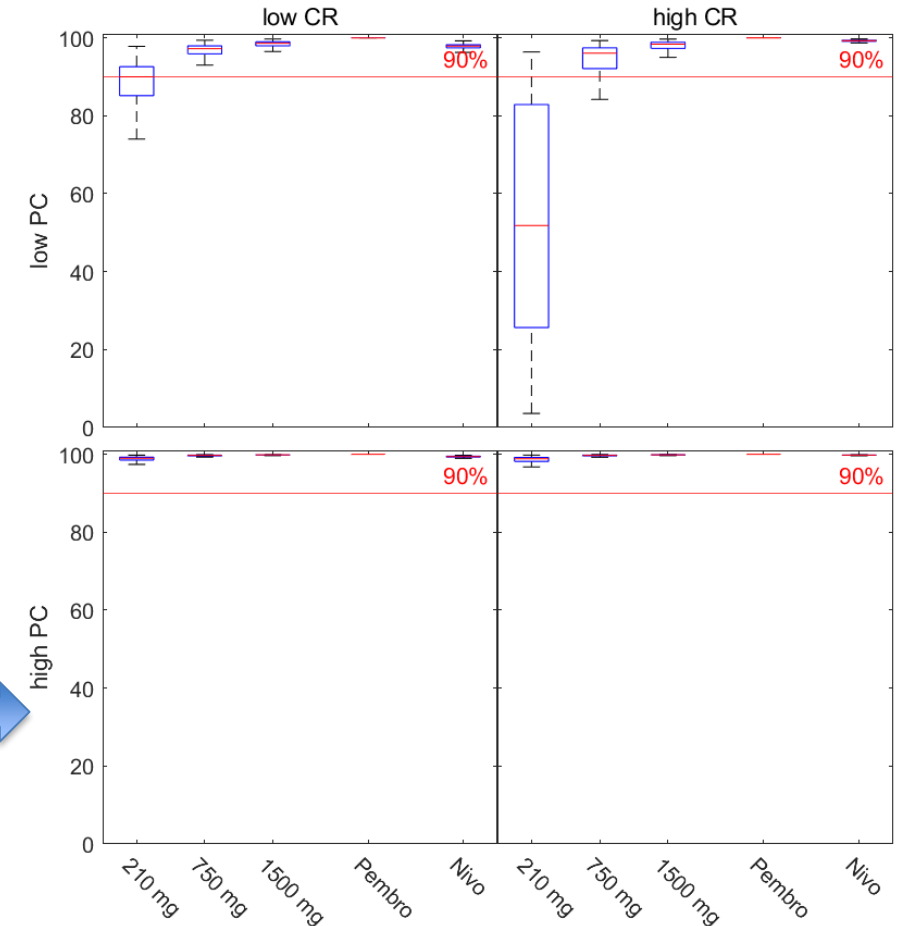


Predicted PD-1 and TIGIT %RO in TME across dose range.

Compared to literature data for PD-1 drug


## Predicted RO for rilvegostomig and other PD-1 mAbs

PD1 receptor Occupancy (%)






# Rilvegostomig – Conclusions

Comparison to external meta-data informed on the dose range for expansion *a priori* 

Starting dose of 70 mg (~ 70% RO of PD1 and TIGIT) informed by the model 

Model was used to narrow the dose range for expansion (750 and 1500 mg) 

Other PD-1 and TIGIT have same dose across indications -  
*gives confidence to use the same dose to start indication expansions* 

# Doses in combinations

To date we've considered first dose and dose optimization in monotherapy.

What about combinations?

I think the same approaches can apply. 

An example - combining novel experimental systems with modeling to optimize combination doses *a priori*

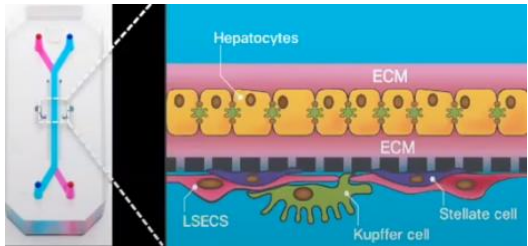
BENEFIT – Guides starting doses and can significantly reduce clinical combination dose ranging

# Case Study 4

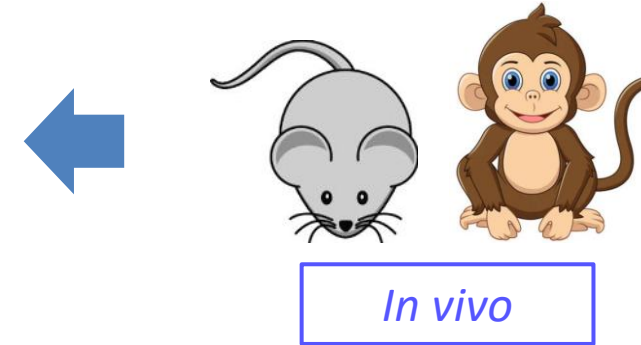
## Modelling of advanced *in vitro* cellular systems is necessary for clinical translation

### Exposure-Response Relationships

#### Organoids



### Systems Model



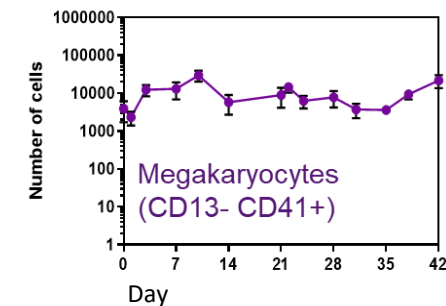
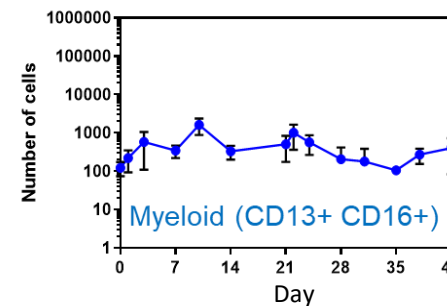
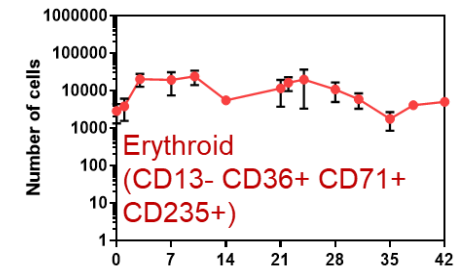
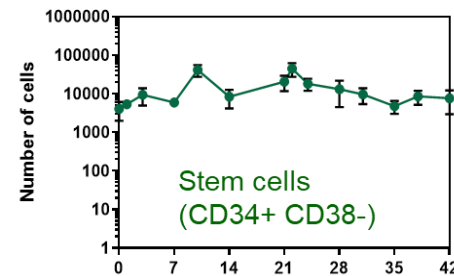
Quantitative systems models allow the prediction of time-series clinical responses with various what-if scenarios



# Clinical Translation from MPS Data: Hematotoxicity

## Human hematopoietic model

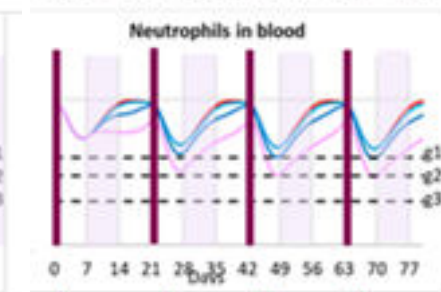
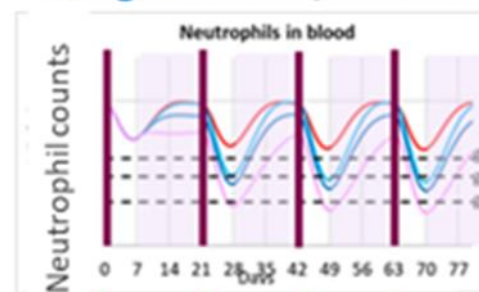
$$\begin{aligned} \frac{dHSC}{dt} &= PHSC + \lambda_{SC} HSC (1 + fb(MLP)) + fb(CMP) - (\sigma_{CMP} + \sigma_{MLP}) HSC \frac{HSC}{HSC_0} - E_{drug} \lambda_{SC} HSC \\ \frac{dMLP}{dt} &= \sigma_{MLP} SC + \lambda_{MLP} MLP (1 + fb(CLP)) + fb(GMP) - \sigma_{CLP} MLP - \sigma_{GMP1} MLP - E_{drug} \lambda_{MLP} MLP \\ \frac{dCMP}{dt} &= \sigma_{CMP} SC + \lambda_{CMP} CMP (1 + fb(MEP)) + fb(GMP) - \sigma_{MEP} CMP - \sigma_{GMP2} CMP - E_{drug} \lambda_{CMP} CMP \\ \frac{dCLP}{dt} &= \sigma_{CLP} MLP + \lambda_{CLP} CLP (1 + fb(lym)) - \sigma_{prolym} CLP - E_{drug} \lambda_{CLP} CLP \\ \frac{dMEP}{dt} &= \sigma_{MEP} CMP + \lambda_{MEP} MEP (1 + fb(ery)) + fb(ret) - \sigma_{CD71} MEP - \sigma_{MK} MEP - E_{drug} \lambda_{MEP} MEP \\ \frac{dGMP}{dt} &= \sigma_{GMP1} MLP + \sigma_{GMP2} CMP + \lambda_{GMP} GMP (1 + fb(neut) + fb(moc)) - \sigma_{myblast} GMP - \sigma_{oblast} GMP - E_{drug} \lambda_{GMP} GMP \\ \frac{dMK}{dt} &= \sigma_{MK} MEP + \lambda_{MK} MK (1 + fb(plat)) - \sigma_{MKT} MK - E_{drug} \lambda_{MK} MK \\ \frac{dMKT}{dt} &= \sigma_{MKT} MK - \tau_{MKT} MKT \\ \frac{dCD71}{dt} &= \sigma_{CD71} MEP + \lambda_{CD71} CD71 (1 + fb(ery)) + fb(ret) - \sigma_{CD71T} CD71 - E_{drugEry} \lambda_{CD71} CD71 \\ \frac{dCD71T}{dt} &= \sigma_{CD71T} CD71 - \sigma_{ret} CD71T \\ \frac{dmyblast}{dt} &= \sigma_{myblast} GMP + \lambda_{myblast} myblast - \sigma_{myblastT} myblast - E_{drug} \lambda_{myblast} myblast \\ \frac{dmyblastT}{dt} &= \sigma_{myblastT} myblast - \sigma_{naut} myblastT \\ \frac{doblast}{dt} &= \sigma_{oblast} GMP + \lambda_{oblast} oblast - \sigma_{oblastT} oblast - E_{drug} \lambda_{oblast} oblast \\ \frac{doblastT}{dt} &= \sigma_{oblastT} oblast - \sigma_{mocy} oblastT \end{aligned}$$



Identification of Drug A + Drug B dosing schedule to avoid clinical hematotoxicity

Drug B: 7d off / 14d on

7d off / 7d on / 7d off



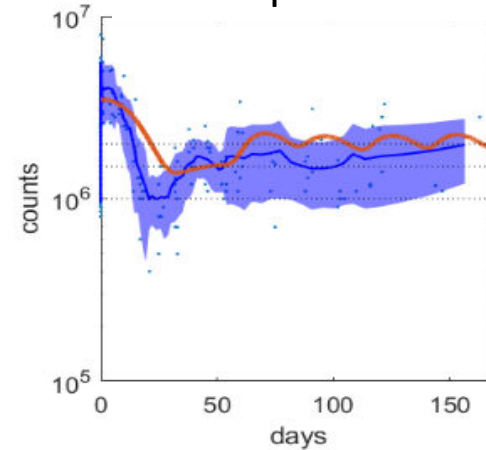
Drug A: q3w dosing

# Clinical Translation Was Excellent!

*Starting dose for combinations*

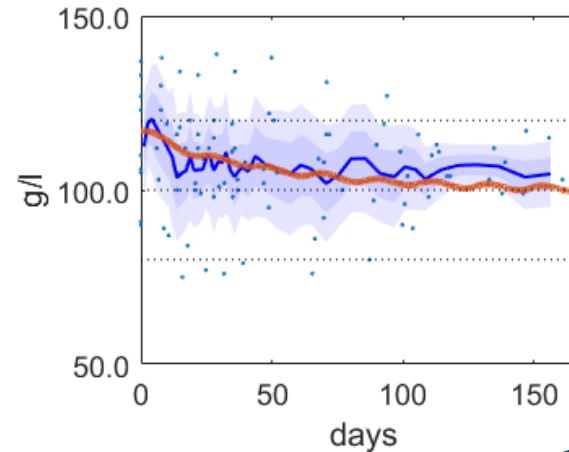
## Prediction versus observation

### Neutrophil counts



**Red Lines:** QST model predictions  
**Blue:** Clinical observations

### Haemoglobin



- The approach is currently being used to predict starting doses and schedule in combination.
- May result in significant reduction in clinical dose ranging



# Summary

## *Advantages of and considerations for model-based approaches*

### **Advantages**

- **Can inform on rational dosing strategies**
- **Bolsters rationale for HA submissions**
- **Facilitates a more accurate starting dose**
- **Amenable to most modalities**
- **Aids decision making on when to expand cohorts/switch indication/combinations**

### **Considerations**

- **Start early – data availability is key**
- **Discuss with teams the aims of and assumptions in the model**
- **Clear communication**
- **Capture learnings from one model to another – portfolio**
  
- **Keep models as simple as possible**

- Project Optimus has really helped focus the Industry on the question of the right dose/exposure, particularly in avoiding too high doses
- Model-based analysis, incorporating novel experimental systems, has the potential to decrease dose ranging across various indications and in combinations to speed drug development and reach patients sooner

FDA-AACR Public Workshop On

# OPTIMIZING DOSAGES FOR ONCOLOGY DRUG PRODUCTS: QUANTITATIVE APPROACHES TO SELECT DOSAGES FOR CLINICAL TRIALS

February 15 and 16, 2024 | Washington, DC

## Acknowledgements

Megan Gibbs

Kathryn Ball

Chang Gong

Song Ren

KyoungSoo Lim

Pavan Vajjah

Karthick Vishwanathan

Amal Ayyoub

Massimo Lei

Cesar Pichardo

Kevin Smart

Matt Hellmann

Susan Galbraith

Jayne Marshall

Deepa Subramaniam

Shaily Arora

Philip Overend

Owen Jones

Sonja Gill

Kainat Khan

Carmen Pin

Holly Kimko

Rhiannon David

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# SESSION 1A: UTILIZING NONCLINICAL DATA AND MODELING TO SUPPORT DOSAGE SELECTION FOR FIRST IN HUMAN TRIALS



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